

Silver and gold nanoparticles as an integral part of nanooncology: current state of the problem

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ABSTRACT

Gold and silver nanoparticles are easily synthesized and the most effective nanostructures in clinical practice. Their optical properties, ease of synthesis, colloidal stability and the ability to form any surface for easier conjugation with biological particles, the ability to individual multiple use, make them especially important in oncology. Gold and silver nanoparticles are capable of providing targeted drug delivery, which depends on the photothermal, photodynamic, and antiangiogenic properties of the metal. Due to their exceptional properties, these nanoparticles are considered as a potential tool for the diagnosis of various types of cancer and drug delivery. The non-toxic and non-immunogenic nature of gold and silver nanoparticles, as well as their high permeability and retention effect, provide additional advantages, allowing drugs to easily penetrate and accumulate at tumor sites. The effectiveness of precious metal nanoparticles as radiosensitizers, dose enhancers and contrast agents is gaining increasing recognition. An important problem associated with the use of biogenic metal nanoparticles is the study of their genotoxicity, since they play an important role in the initiation and progression of abnormalities, including genetic ones.

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1. Cancer is the main problem of the 21st century

At this stage of civilized world development, cancer is the main threat to human health with high mortality rates¹. Globally, there has been an alarming incidence growth of all cancer types from 12.7 million new cases in 2008 to 22.2 million by 2030^{2, 3}. In this regard, development of a strategy for complete cure of cancer is a major public health objective. Currently, the literature shows a great deal of interest in tumor diagnosis and treatment based on nanoparticles (NPs)⁴⁻⁶. Clinically oriented nanotechnology studies give reason to speak about the formation of a new effective nanoscience and nano-oncology model⁷. In this regard, the rapidly developing nanotechnology opens up great opportunities for improving diagnostics and antitumor therapy quality^{3, 7}.

2. Nanotechnology, nanomedicine, nano-oncology and general characteristics of nanoparticles

Nanotechnology is an interdisciplinary science based on a combination of theoretical justification, analysis and synthesis, as well as methods for producing NPs with a given atomic structure, about 1-

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100 nanometers (nm) in size, for use in medicine, biology, physics, chemistry and mechanical engineering^{8,9}. By 2020 the global nanoindustry is expected to reach \$ 75.8 billion¹⁰, and by 2026 the sale of NPs will amount to \$ 50 billion¹¹.

Nanomedicine is a translational science, whose purpose is to obtain new therapeutic and diagnostic tools using modern nanotechnology¹². It is aimed at developing methods for delivering drugs to certain human body tissues, increasing diagnosis, imaging and therapy effectiveness. The use of nanotechnology in designing new drug delivery systems is one of the most rapidly developing nanomedical research areas. NPs widespread use for both diagnosis and treatment of various localization cancer, contributed to the formation of a new medical sphere - teragnostics¹³. Thus, NPs development for cancer diagnosis and treatment has given rise to a new field of research - oncological nanomedicine. Nano-oncology's goal is to detect, target and treat cancer cells without any side effects.

3. Methods of gold and silver nanoparticles synthesis in oncology

MNPs synthesis is a new nanoscience field due to their unique physical, electrical, chemical and optical properties⁹. In practice, two approaches to NPs synthesis are usually used: a top-down approach and a bottom-up one. They are shown in Fig. 1.

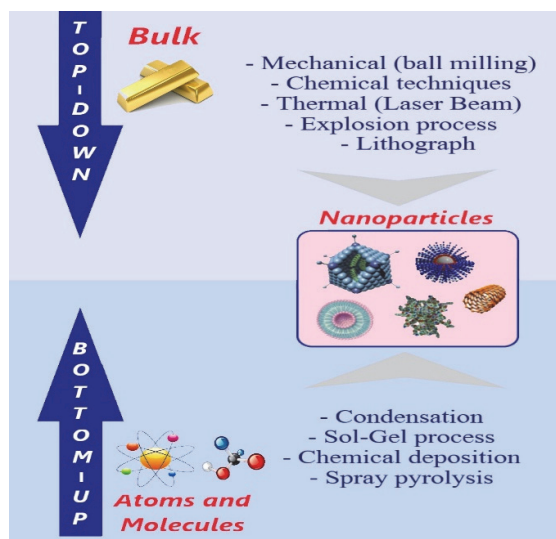


Fig. 1.¹⁴ Top-down and bottom-up approaches using various physical, chemical and biological methods for NPs synthesis

In the top-down approach to synthesis NPs are formed by cutting loose material by various mechanical means to obtain nanoscale structures. In the bottom-up approach NPs are synthesized at the molecular level using various chemical or biological agents^{10, 15}. The modern method for MNPs synthesis is based on cations recovery with a possibility to adjust the size and shape¹⁶. In chemical synthesis methods, gold (Au) ions in salts are recovered using citrate^{17, 18}, ascorbate¹⁹, borohydride²⁰ or amines²¹. In these methods, the use of stabilizers is necessary to prevent gold nanoparticles (AuNPs) aggregation. Among various stabilizing agents, citrate²² and alkanethiols²³ are considered universal agents. Since NPs differ not only in size and shape, but also in optical and electrical parameters, obtaining particles with uniform characteristics is crucial. Therefore, to control NPs size and shape, a certain temperature²¹, microwaves²³, or UV irradiation²⁴ are used. The biological method for nanostructures synthesis is free from a number of unsatisfactory conditions typical of physical and chemical methods for producing NPs (high temperature and energy requirements, hazardous wastes and toxic chemicals formation)²⁵⁻²⁷. In this connection, nanobiotechnological studies belong to “gold biotechnologies” and suggest NPs synthesis by using only biological sources²⁶.

AuNPs are easily synthesized and most potentially effective nanostructures²⁸. AuNPs optical properties, ease of synthesis, colloidal stability, and the ability to form NPs surface for easier coupling with biological particles make them especially relevant for biomedical applications. AuNPs and silver nanoparticles (AgNPs) alloys can be synthesized in both directions: Ag-coated by Au and / or Au-coated by Ag colloidal particles. This can be achieved by applying one metal salt to an already formed surface of another metal NPs. In addition, it is possible to control and produce various compositions of these bimetallic NPs by controlling the amount of salt deposited on preformed colloids²⁹. It has been shown that they are substrates for surface-enhanced spectroscopy of combined light scattering and, therefore, can be used to detect drugs, proteins and biomolecules in body fluids, and to detect early cancer biomarkers³⁰. In another study, Au-Ag NPs were used to detect different DNA strands in a single reaction system. This molecular nano-diagnostic approach can be implemented as an operational diagnostic test for cancer³¹. Au and Ag alloys limit AgNPs cytotoxicity and demonstrate a more increased plasmonic and photothermal activity than pure AuNPs, which makes it possible to consider them quite effective anticancer agents³².

4. Nanoparticle classification and properties

NPs can be classified into metal, polymer, carbon, magnetic, liposomes, dendrimers, and quantum depending on their type³³. NPs can be clusters of ions, atoms, or molecules³⁴. At the same time, their size is not strictly limited. So, NPs used for drug delivery, loaded with drugs, have a diameter of more than 100 nm³⁵. Thus, the ideal NPs size for cancer treatment is 70–200 nm, since fenestration in the tumor tissue endothelium is about 200–780 nm³⁶. At the same time, NPs less than 100 nm have excellent ability to target the tumor, being small enough to penetrate from porous vascular endothelial fenestrations that surround the tumor area²⁹. Unlike traditional anticancer drugs, with a number of significant side effects, NPs provide a strictly localized approach that prevents unwanted effects. NPs have specific physicochemical properties that are different from their volume, micro, or macro-sized counterparts, which are determined by UV-visible spectroscopy, Scanning Electron Microscope (SEM) and dynamic light scattering (DLS). It is of interest to consider these NPs formation mechanism in an aquatic environment through various stages of reduction, nucleation, and growth.

Characteristic by UV-visible spectroscopy. MNPs have optical properties that depend on NPs size, composition, and morphology. These optical properties are the result of surface plasmon resonance (SPR). When a metal is irradiated by an electromagnetic wave in the UV or visible spectrum the cumulative effect of free electrons oscillating coherently generates plasmons³⁷. Gold and silver absorb light in the visible range, so the solution takes on colors that depend on the particle shape. Triangular particles are identified by red, pentagonal - by green, and spherical - by blue. The metal size, shape, composition and the NPs concentration affect the wavelength at which the absorption peak appears³⁸. Based on this, it can be concluded that NPs consisting of a certain metal, size and shape will correspond to one or another absorption peak in the UV spectrum. Dynamic light scattering (DLS) is the primary analytical method used to characterize NPs in order to identify their size and distribution in the nanometer range. It is known that in a colloidal solution particle move in Brownian motion. When such a solution is irradiated with light, these suspended particles scatter the light at different angles, which is recorded by DLS. In this case DLS measures the autocorrelation coefficient or the time-dependent intensity function^{39, 40}.

Characteristic by microscopy. Being in the nanometer range, NPs can be monitored using SEM, transmission electron microscopy (TEM), scanning tunneling microscopy (STM), and atomic force microscopy (AFM). SEM is a NPs surface visualization method that uses an electron beam to obtain results in the form of signals corresponding to atomic composition features and other topographic data. SEM can achieve a resolution equal to or less than 1 nm⁴¹⁻⁴². TEM gives the same results as SEM, but uses a very thin portion of the sample through which the incident electron ray passes⁴¹. TEM is

preferable to SEM, since it provides better spatial resolution and possibility of additional analytical measurements⁴³.

5. Gold and silver nanoparticles in diagnosis and treatment of cancer

NPs with their unique physicochemical characteristics have pronounced antitumor properties. The NPs unique antitumor action is caused either by internal features associated with their direct antioxidant effect, or by the use of external stimuli connected with local hyperthermia in response to the use of infrared rays or magnetic fields. When exposed to external stimuli, a reactive oxygen species production occurs which causes cancer cell death. NPs can also interact with the tumor environment (blood vessels or stroma), and block developing the tumor itself⁴⁴. Au and Ag possess pronounced antitumor properties. Of particular importance is the study of AuNPs and AgNPs potential for drug delivery, hyperthermia, bioimaging, photothermal therapy, cancer therapy itself and biosensor analysis. Owing to their small size, NPs can easily interact with biomolecules both on the surface and inside the cells, providing better signals and targeted specificity in cancer diagnosis and treatment. Basic chemicals with rapid metabolism used to treat tumors are toxic to the body and have significant side effects with drug resistance development. At the same time, NPs biological synthesis provides a fairly high safety and effectiveness of cancer treatment. NPs obtained using precious metals can have different properties and therefore, display one or another toxicity mechanism in relation to certain cancer cells. AuNPs and AgNPs can also be used in combination with drugs, which significantly improves therapy results⁴⁵. AgNPs enter mammalian cells as aggregates, mainly through endocytosis and can also penetrate the blood-brain barrier. When they enter the cell in the endocytic vesicle by means of intracellular transport, they are distributed in the cytoplasm and nucleus⁴⁶. Due to difference in their physicochemical properties, AgNPs can affect different cell types through various cellular processes. In this respect, NPs can be toxic not only to cancer cells, but to some extent, to normal cell structures⁴⁷.

It was found that AgNPs possess chemical stability, high electrical conductivity, thermal conductivity, catalytic activity, and enhanced raman scattering. Mechanistic transcriptome analysis of cancer-associated fibroblasts (CAFs) showed that Ag-based nanomaterials cause expression changes in genes associated with cancer invasion and tumor metastasis⁴⁸. MNPs can impact the tumor stroma carcinogenic activity by affecting stromal fibroblast genes expression and secretory profiles and thereby change the internal cross-connections with malignant cells. The AgNPs antitumor effect is associated with reactive oxygen species (ROS) production, lactate dehydrogenase (LDH) release, decreased mitochondrial function, cell cycle deregulation, induction of apoptotic genes such as Bax (Bcl-2-associated X protein), micronuclei formation, chromosomal aberrations and DNA damage^{49, 50}. This potential of metallic nanomaterials should be used in multimodal approaches to treatment and achieve better therapeutic results. AgNPs absorption by macrophages is especially evident in inflammation, often associated with the tumor process. These activated macrophages release ROS, tumor necrosis factor alpha (TNF α), inflammatory cytokines and interleukins (IL-6)⁵¹. AgNPs small sizes were more toxic and more effective in reactive ROS production^{52, 53}. In addition to these cellular mechanisms, AgNPs also demonstrated antiangiogenic⁵⁴ and antiproliferative⁵⁵ properties. AgNPs are anti-angiogenic because they inhibit phosphorylation of signaling pathway Akt by PI3K Akt by (protein kinase B) PI3K (phosphatidylinositol 3-kinase), which is incomplete in nature, blocking angiogenesis, depriving the cell of oxygen and thereby destroying the tumor cell⁵⁴. The antitumor activity is due to AuNPs intrinsic properties, which enable them to selectively interact with heparin-binding glycoproteins and block their activity. The AuNPs ability to specifically bind to vascular permeability factor (VPF), vascular endothelial growth factor (VEGF), major fibroblast growth factor, endothelial cell mitogens and angiogenesis mediators inhibits endothelial fibroblast cell proliferation and angiogenesis⁵⁶. AuNPs block phosphorylation of downstream molecules, such as Akt, ERK $\frac{1}{2}$ in the PI3K/Akt signaling pathway^{6, 57}. Once inside the cells, AuNPs target tumor suppressor genes and oncogenes to induce efficient caspase-9 expression⁵⁸. Core-targeted AuNPs contribute to blocking the

cell cycle and inhibiting cytokines, which then initiate apoptosis⁵⁹. In many cases AuNPs are used as a delivery system [60] or in conjugation with a therapeutic molecule⁶¹. AuNPs exhibit independent antitumor activity, due to their ability to provide targeted drug delivery, depending on the metal photothermal, photodynamic and anti-angiogenic properties^{5, 28}. In photothermal therapy AuNPs are used as a probe because of their strong absorption due to surface plasmon resonance (SPR) in the near infrared region, which leads to heating effects, followed by irradiation with a non-ionizing energy source, such as a laser. When laser irradiation is applied to AuNPs the SPR band is converted to heat, which causes hyperthermia ultimately leading to cell necrosis⁶². Photodynamic therapy is based on using a photosensitizer, such as 5-aminolevulinic acid, which is stimulated by irradiation and reacts with cell molecular oxygen to produce reactive oxygen species damaging lipids, proteins and DNA, and ultimately results in cancer cell apoptosis or necrosis⁶². It was found that AgNPs and AuNPs easily penetrate and accumulate in the cytosol and nucleus, which leads to inflammatory and apoptotic process activation, which in turn causes DNA damage. In addition, expression of messenger RNA plasmon(mRNA), caspase-3 protein and caspase-7, TNF- α and nuclear factor kappa-light-chain-enhancer of activated B cells (NF-kB) provides induction of the internal and external apoptosis mechanism and inflammatory pathways in cells treated with AgNPs and AuNPs⁶³. The use of AgNPs and AuNPs optoelectronic properties has given a new impetus to theranostics development in oncology, combining diagnostics and therapy. Multifunctional catalytic properties and SPR NPs of noble metals provide them with an advantage in biology and immunology. M. Sengupta et al.⁶⁴ emphasize in their work that AgNPs and AuNPs do exert antitumor activity by shifting tumor-associated macrophages (TAMs) from the M2 phenotype to the M1 phenotype, as evidenced by a decrease in TNF α and Interleukin-10(IL-10) and a concomitant increase level of immunoregulatory cytokine IL-12. In addition, the study demonstrates TNF- α expression leveling after treatment with NPs, due to TAMs hypoxia reduction, which reduces the tumor volume during treatment with NPs. It was obvious that these NPs were not only immunoreactive, but also sent sensitive to oxidizing agent signals to the tumor microenvironment, inducing oxidative stress⁶⁵. Microbial synthesis of biocompatible AgNPs, AuNPs and their alloys (Ag/AuNPs) for hepatoprotective activity against liver cancer caused by diethylnitrosamine also deserves attention⁶⁶. The most common population in the reactive tumor stroma is fibroblasts (cancer-associated fibroblasts - CAF), since they can make up 80% of the total tumor mass, in particular, pancreas tumor⁶⁷. The continuous and reciprocal information exchange between CAFs and cancer cells supports pre-metastatic niche creation, to which CAFs contribute mainly by isolating many growth factors, releasing tumor stimulating exosomes, inducing epithelial-mesenchymal transitions and neoangiogenesis, and by remodeling the components of the extracellular matrix^{68, 69}. Moreover, CAFs may contribute to the development of a multidrug-resistant tumor phenotype⁷⁰. Hybrid nanomaterial presence in the microenvironment of the tumor, in which Au is the nucleus and Ag is the membrane, is accompanied by a tumor cell-stimulating response weakening associated with CAFs. Intracellular presence of gold NPs Au@Ag nucleus can provide a significant advantage in cancer therapy. Thus, according to the literature, gold nuclei NPs Au@Ag have a strong radiosensitizing effect in cancer cells, which significantly increases radiotherapy effectiveness by reducing the proliferating cancer cells number in fibroblast-rich tumor microdomains⁷¹.

First described by Matsumura and Maeda in 1986, the effect of increased permeability and retention (EPR) interprets specific NPs accumulation at the tumor site^{72, 73}. The authors explained that NPs selectively accumulate in solid tumor masses as a result of certain tumor properties. Solid tumors contain leaking blood vessels with intercellular gaps of 100 nm to 780 nm⁷⁴, compared with pore diameters of up to 20 nm in normal capillaries⁷⁵⁻⁷⁷. NPs with diameters up to 100 nm pass through the reticuloendothelial system to accumulate in the tumor are^{75, 78}. However, these endothelial fenestrations' sizes are known to vary depending on the tumor type and microenvironment⁷⁹. After assembly within the tumor interstitium, NPs persist due to locally ineffective lymphatic drainage. Tumors with poor vascularization, such as pancreatic or prostate cancer, cannot accumulate NPs⁸⁰. In recent years, photothermal therapy has attracted increasing attention as a fifth-line tumor treatment after surgery, chemotherapy, radiation therapy, and biological therapy⁸¹⁻⁸³. This treatment involves

nanomaterial selective accumulation with a near-infrared (NIR) photothermal focus conversion at the tumor site, which then absorbs NIR light and efficiently converts it into heat to kill the tumor cells^{84, 85}. The most important photothermal therapy advantage is that it can theoretically provide effective treatment for all solid tumors, including those that are not amenable to radiation therapy and chemotherapy, along with drug-resistant tumors⁸⁶⁻⁸⁸.

Au – Ag bimetallic material has optical properties similar to those of AuNPs. This indicates that it can be used to detect and treat cancer due to an increase in temperature after irradiation resulting from the effect of localized surface plasmon resonance (LSPR)^{89, 90}. Thus, AuNPs and AgNPs are promising candidates for combined cancer and related infections treatment. However, the AuNPs and AgNPs potential for using antimicrobials has not yet been well studied⁹¹. It should be noted that considerable attention is paid specifically to NPs that absorb radiation in the near infrared region^{57, 58}. Among them NPs Au are most commonly used in theranostics^{59, 61}. The combination of good AuNPs biocompatibility and the ability of some of them to absorb radiation in the near-infrared NIR region (0.75-1.4 microns, the so-called biological window) make them optimal for medical use. This type of cancer treatment is based on thermal cell destruction by a sharp temperature increase after NPs irradiation in the immediate vicinity of the tumor with near infrared light. The main advantages of this therapeutic method are: minimal invasiveness for the patient and excellent penetration into the tissue (up to 10 cm)^{62, 92}. Photothermal therapy research is currently focused on development of nanomaterials with high photothermal conversion efficiency. Precious metal nanomaterials, such as AuNPs with surface plasmon resonance, are considered ideal photothermal conversion materials, which leads to enhanced optical absorption⁹³. Compared to several conventional spherical smooth nanostructures, branched AuNPs appeared as new Au nanostructures with many advantages, including a large specific surface area, which leads to even greater photothermal transduction efficiency⁹⁴. Thus, branched AuNPs and AgNPs coated with polydopamine exhibit excellent structural stability and biocompatibility. More importantly, these NPs can effectively inhibit HeLa cells growth after laser irradiation⁹⁵.

Effectiveness of NPs as radiosensitizers, dose enhancers, and contrast agents is becoming increasingly recognized⁹⁶. NPs are included in radiotherapy and accumulate inside the tumor. It was found that NPs Au aggregate due to interaction with glutathione in the cytosol. Compared to normal tissue more AuNPs accumulate in cancer cells, since the level of glutathione in them is much higher than in normal cells. This increases radiation therapy effectiveness, which is significantly reduced in tumors because of multidrug resistance and anti-apoptotic survivin protein action⁹⁷. During treatment, when a photon beam irradiates a tumor, NPs inside the tumor interact with photons to emit more secondary electrons⁹⁸. These electrons contribute to further damage to cancer cells near AuNPs by increasing the dose absorbed by the tumor⁹⁹. Au has a high atomic weight ($Z = 79$) and, as such, AuNPs absorb more photons than soft tissues¹⁰⁰. It is well known that the photoelectric effect dominates in a high atomic number environment irradiated by low-energy photons in the kilovolt range¹⁰¹. It is the characteristic high atomic weight of NPs gold that makes them effective visualizing contrast agents¹⁰².

6. A systematic genotoxicity and antigenotoxicity review of biologically synthesized metal nanomaterials

Nanotoxicity as a new branch of toxicity can be characterized as a study of nanomaterial adverse effects on living organisms and ecosystems¹⁰³. Genotoxicity is a problem associated with using biogenic MNPs, since they play an important role in initiation and progression of possible adverse processes for the body. Genotoxicity can be defined as point mutations, damage to genetic information within the cell, such as DNA strand breaks, chromosomal fragmentation and changes in gene expression profiles¹⁰⁴. Genotoxins can damage the DNA sequence and chromosome structure by adding, deletion, duplication and ring formation¹⁰⁵. Genotoxins are divided according to their action into three groups: carcinogens, mutagens and teratogens¹⁰⁶.

Regulators in most countries rely on specific guidelines for testing potential drugs for genotoxicity as part of their safety assessment¹⁰⁷. Based on new nanoproducts rapid development, MNPs genotoxicity data are needed to transfer these nanoproducts from research laboratories into clinical practice. Nanomaterial physicochemical and biological properties, their small size, greatly increase their reactivity and interaction with biological tissues. In addition, NPs are able to cross biological barriers and exert their beneficial or toxic effects on the human body¹⁰⁸. Nanogenotoxicity can be either primary or secondary. Primary nano-induced genotoxicity may be caused by NPs' direct or indirect effects on genetic material. During direct primary genotoxicity NPs interact immediately with chromosomes in the course of interphase and can bind to DNA molecules and prevent DNA replication or transcription. In addition, NPs can interact with chromosomes during mitosis, causing a chromosomal rupture (clastogenic effect) or their loss (aneugenic effect) due to mechanical or chemical actions. With indirect primary genotoxicity NPs-mediated increase in toxic ions generation and release can block proteins necessary for DNA replication and transcription^{109, 110}. A 2018 meta-analysis showed that biogenic NPs cytotoxicity in cancer cell lines was 9 times higher than in normal cell lines, indicating a much greater biogenic MNPs cytotoxicity in cancer cell lines (OR = 9.004, p 0.001)¹¹¹. NPs genotoxicity can be affected by their properties, including composition, size, shape, surface characteristics, physicochemical parameters (pH, temperature), dose, solubility, exposure time, cell type used and treatment regimen^{109,112}. NPs composition is the main potential genotoxicity driver. Increased reactivity in contact with biological objects can induce higher ROS production. Moreover, the surface composition is a critical parameter determining the types and degree of NPs interaction with the biological system, metal ions dissolution rate and NPs biodistribution^{113, 109}.

Numerous works of the last decade reported on MNPs green synthesis methods which use biological ways as an environmentally friendly approach. However, laboratory studies of biologically synthesized MNPs genotoxicity are still small in number and demonstrate significant heterogeneity¹¹⁴. Thus, MNPs are increasingly used in the biomedical field. In cancer biology MNPs are being developed for both diagnosis and therapy. NPs of metals and metal oxides can be synthesized, if necessary, and further modified by various chemical functional groups. Their functionalization helps conjugate them with biological molecules (such as antibodies, nucleic acids and peptides), ligands and antitumor drugs.

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